

1: Bank Compliance Risk Assessments | Compliance Alliance

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Each license assessed is given one of six classifications at the end of the year: During , the overall number of licenses with an unsatisfactory rating at risk, poor or very poor increased in comparison to In , 13 more licenses assessed received an unsatisfactory rating compared with We continue to prioritise our focus on the poorest performers, and on persistent non-compliance. As a result of this focus, in we: We will continue to focus our regulatory effort in on the poorest performers and those with a poor compliance history. Since , operators in all major regulatory regimes have been included in the Compliance Assessment Scheme, with water resource activities regulated under Controlled Activities Regulations fully included since , making it easier to compare trends. A significant number of lower risk activities are not assessed annually, and inspection frequency varies from once every two to once every five years. As a result the number of licenses assessed and reported each year will vary. In addition to the compliance data for nuclear and radioactive substances sites published in detail below, we also regulate smaller authorised radioactive sources, many of which are used in the offshore oil industry and onshore in industrial processes. As in previous years, each licence is given one of six classifications at the end of the year: During , the overall number of licences assessed as non-compliant at risk, poor or very poor fell for a second consecutive year, as we continue to prioritise our focus on the poorest performers, and on persistent non-compliance. However, as in the previous two years, quality and a timely submission of data, which is a requirement for many licence holders, continues to be a significant cause of non-compliance. Since , operators in all major regulatory regimes have been included in the Compliance Assessment Scheme, with water resource activities regulated under Controlled Activities Regulations fully included since This makes comparing overall year on year trends easier. As in previous years, a significant number of lower risk activities are not assessed annually, and inspection frequency varies from once every two to once every five years. As a result the number of licences assessed and reported each year will vary. There are six classifications in the scheme: The overall number of non-compliant sites fell in compared with thanks largely to the increased focus on failing and problem sites from regulatory staff. It should also be noted that the numbers of non-compliances recorded as a result of issues identified through the assessment of data returns a major source of non-compliance in , which certain permit holders are required to submit, have decreased due to increased national focus and the running of a number of national initiatives designed to engage, and work, with the regulated community. Since , operators in all major regulated regimes have been added to the compliance assessment scheme which should make comparing overall trends year on year a little easier. It should be noted however that a significant number of lower risk operations will not be assessed each year. Such sites will be assessed at a frequency varying from once every two years to once every five years and as a result the total number of sites assessed and reported each year will vary. The activities vary from large scale public water supplies or industrial abstractions to smaller scale irrigation schemes. A total of licensed activities were assessed as part of the scheme. The overall number of non-compliant sites rose in compared with thanks largely to the introduction of the water resource sites fully into the scheme. This has resulted in a slight overall improvement, with Of the sources inspected this year, Site specific details of these licences have not been published. The activities vary from large scale public water supply or industrial abstractions to smaller scale irrigation schemes. Of the sites assessed The main cause of non-compliance for water resource sites was the failure to supply data returns required by the licence. Site specific details of these licenses have not been published. This has reduced the number of inspections for the lowest risk permits, including petrol vapour recovery petrol stations and solvent-based operations such as dry cleaners, meaning that many of these sites will move on to a five year inspection cycle. In we added the radioactive substances authorisations to the compliance assessment system, with the overall results that many more site assessments are reported through our compliance assessment scheme. During we piloted a new inspection regime to help target higher risk and non-compliant sites.

V.1 SUMMARY OF COMPLIANCE ASSESSMENT. pdf

2: Compliance assessment scheme summaries | Scottish Environment Protection Agency (SEPA)

Common Air Interface (CAI) Test Requirements Compliance Assessment Bulletin (CAB) Suppliers' Declaration of Compliance (SDOC)/Summary Test Report (STR) Update The Department of Homeland Security (DHS) Science and Technology Directorate (S&T) Office for.

3: Assessment, Compliance and Summary - eLearning Learning

If the LMS pitches themselves as ideal for compliance, it better have the features that are not the basics for compliance but are beyond that (as it relates to compliance and regulatory).. P2P, video streaming, video/webcam assessment /coaching, etc.).

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